

ANNEXURE- A-6

AUDIT COMPLIANCE REGISTER

Name of Office DIU Palghar

Year of Audit 2022-23

Part (A)

Sr. No.	Date of Audit Report	Audit report & observation Number	Details of Audit Observation	Date of Submission of Audit compliance	Date of acceptance of Audit compliance	Remarks
1	21-03-23	2	It has been observed that the books of accounts are being maintained in manual form and double entry accounting system is in absence during the audit period. Further, accounting is not being implemented in accounting software.	18-08-23		
2	21-03-23	3	There are absence of practice of preparation and submission of Interim Unaudited Financial Reports (IUFRR) to the PIU Agriduring the audit period.	18-08-23		
3	21-03-23	5	Since the unit are not being maintain its books on double is entry accounting system as well as IUFRR were also not continued prepared for the audit period, we are unable to comment on the analysis between books of accounts and IUFRR	18-08-23		
4	09-06-23	6	Primary books of accounts such as Cash Book, Bank Book, BRS, Journal Register, General Ledger and Trial Balance and secondary books of accounts such as audit compliance register are not being maintained as prescribed under Chapter-17 in the Financial Management Manual.	18-08-23		
5	09-06-23	7	There are absence of practice of preparation and submission of Interim Unaudited Financial Reports (IUFRR) to the PIU Agri during the audit period.	18-08-23		
6	09-06-23	8	It has been observed that the books of accounts are being maintained in manual form and double entry accounting system is in absence during the audit period.	18-08-23		
7	09-06-23	9	Utilization certificate was not made available to us for verification.	18-08-23		
8	09-06-23	10	Since the unit is not maintaining its books on double entry accounting system as well as IUFRR were also not prepared for the audit period, we are unable to comment on the analysis between books of accounts and IUFRR.	18-08-23		
9	09-06-23	11	TDS for Jan and Mar, 2023 amounting to Rs.2071 & Rs.4294 was deposited but details not provided. GST for Jan and Mar, 2023 amounting to Rs.4294 each was deposited but details not provided.	18-08-23		

Part (B)

Sr. No.	Date and year of Audit Report	Total Number of Audit Observation	Total Number of Audit Compliances submitted and accepted	Pending audit observation	Remarks
1	21-03-23	3	3 Submitted		
2	09-06-23	6	6 Submitted		


NODAL OFFICER
SMART, DIU
PALGHAR

ANEXURE-A-9
IMPREST AMOUNT REG

ANNEXURE- A-6
AUDIT COMPLIANCE REGISTER
 April- 2023 to June- 2023

Name of Office DIU Palghar

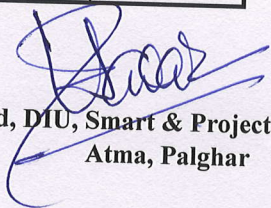
Year of Audit

Part (A)

Sr. No.	Date of Audit Report	Audit report & observation Number	Details of Audit Observation	Date of Submission of Audit compliance	Date of acceptance of Audit compliance	Remarks
			-----Nil-----			

Part (B)

Sr. No.	Date and year of Audit Report	Total Number of Audit Observation	Total Number of Audit Compliances submitted and accepted	Pending audit observation	Remarks
			-----Nil-----		


Head, DIU, Smart & Project Director,
Atma, Palghar